			ехнівіт <u>#</u> 1764
			WIT:
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U 4		ERTIFICATION	
DNV	Company	Audit Report	Alex.
Company Name (main DOC holde Transocean Offshore Deepw:): ater Drilling, Inc.		DNV ta No.: 195724
Company Address: Four Greenway Plaza Houston, TX 77046 USA			
Company Branch Office Locations North America, Houston, TX; Forex, Montrouge, France; R	Transocean Sedco Forex do	Ltda., Macae - RJ - Brazi	Brazil; Service Petrollers Sedco I; Transocean Brasil Ltda., Macae-
Audit Request:	Initial 🗌 🛛 Annual 🛛	Renewal:	
		Previous Full Term DC	DC Expiry Date:
Additional:	(Scope/reason):	1855	ne intra se
Date of Audit: 2005-04-12 & 1:	eranen	ve Greenway Plaza, Hou	ston, TX, USA
and the second	-04-14 Main Office	🛛 / Branch Office 🗌	<u> </u>
Type of ships included in the DOC:			
Passenger ship Passenger High-Speed Craft	Bulk Carrier	Gas C	
Cargo High-Speed Craft	Chemical Tanker		Cargo Ship
DNV Lead Auditor: ludt Team:	Antony M. D'S David McKay	Winnerst 441 184 81 18 18	
	and the second s		an a sharan an a
The audit has been conducted in ac	cordance with the ISM Code /SOLA	S Chap. IX	
Under the authority of the Governm	ent(s) of: Bahamas, Libe	ria, Marshall Islands, Pa	nama
Flag State authorisation(s) verified			
Corrective actions from previous au	dits were verified 🛛 II not com	pleted, see Non-Conformily I	No.
			in aller i ann add tar an ann ann ann ann an ann ann ann ann
he audit resulted in the following o	umberof		
	Conformities (Unresolved)		
STATE OF THE REPORT OF THE REPORT OF THE	Conformities (Downgraded)	8 10-02-03 10 10 10 10 10	
Nor-Confor Findings	nitics		
Observation	s 5		
- Children and Children	any provide a subscription of their information of the Safety Constantion	Ar ann an Maria a Lannan agus ann ann an Christean ann an Sanainn an Sanainn an Sanainn an S	
ismuse however are compensation shall not exceed an	are been caused by any negliginit art or provision of Dat amount equal to tendence the foa charged for the sched dubra Det Norske veinde pe wei as all its subardrand, a	tin distriction recycled that the maximum caros	pey comparisation to such person for his proved areat loss or examined shall never excert USC 2 million after using on public of Del Maria Memory
		and a substant of the second sec	eerus eveng on servar ol uni nichte vindas.
	EIEN 1. NO-1322 HØVIK. NORWAY 7 ISUE: June 2003	EL INT. +47 67 57 99 00, TELEFA	
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DET NORSKE VERITAS

ISM CODE CERTIFICATION Company Audit Report

Transocean Offshore Deepwater Drilling, Inc.		DNV id No.: 195724
Conclusion (Complete at least one of the following five sections)	5) Y=Yes N=No	Comments
The Document Assessment was completed	1	
Without Non-Conformities		
With Non-Conformities		
he Initial / Renewal Audit was completed without Non-Conformities usue of DOC is RECOMMENDED.		ALL V
he Initial / Renewal Audit was completed with Non-Conformities as end sue of DOC is RECOMMENDED, conditional upon the agreed schedu prective action being completed as indicated in the enclosed Non-Cor	ule for	W VO
he Initial / Renewal Audit resulted in Major Non-Conformities as enclosed	the second successive and the second successive success a successive second successive success	
sue of DOC is NOT RECOMMENDED, but is pending corrective action nd verification by DNV through an Additional audit.		
	dista.	
he Annual Audit was completed without Non-Conformities. he DOC has been ENDORSED.	Yes	Annual Audit of the company's Main
he Annual Audit was completed with Non-Conformities as enclosed	105	Office was completed with Satisfactory Results
he DOC has been ENDORSED, conditional upon the agreed schedule ction being completed as indicated in the enclosed Non-Conformity No		Satisfactory results
he Annual Audit resulted in Major Non-Conformities as enclosed he DOC has NOT been ENDORSED, but is pending corrective action	bu comoany	
nd verification by DNV through an Additional audit	by company	2
/	T ALL INT	i
he Additional audit has been completed. I issue of DOC is RECOMMENDED DOC has been ENDORSED	AV AV	
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eport Verification: ead Auditor: Antony M. D'Souza Signature: erified by: ame Mike Close Signature the Sr. Surveyor emarks inclosures: dit Plan Major Non-Conformaties Non-Conformities [istribution: (lick if by Auditor) [righal to Company: opy to Flag State Administration / Copy to DNV/MTPNC opy to Flag State Administration / Other: (This audit report shall be relained on file by the efinitions: ajor Non-Conformities An identifiable deviation which possesses a serious the code is also considered as a Major Non-Conformity.	Findings Provide the second system	USAN USTON Observations Additional remarks / Copy to DNV/ISM Co-ordinator seven (7) years from date of audit) afety or a serious risk to the environment and natic implementation of a requirement of the ISM
eport Verification: ead Auditor: Antony M. D'Souza Signature: arified by: arme Mike Close Signature. Ue Sr. Surveyor emarks inclosures: dit Plan □ Major Non-Conformities □ Non-Conformities [istribution: (lick if by Auditor) □ riginal to Company: □ / Copy to DNV/MTPNC opy to Flag State Administration □ / other (This audit report shull be retained on file by the efinitions: ajor Non-Conformities An identifiable deviation which possesses a serious to requires immediate corrective action; in addition, the Code is also considered as a Major Non-Conformity. An on-Conformities A non-Conformity and the postesses a serious to requires immediate corrective action; in addition, the Code is also considered as a Major Non-Conformity. An on-Conformities A non-Conformity. An on-Conformities A non-Conformity. A non-Cutifiment of objectives or requirement defined Code certification. It does not affect the issue or rend	Findings Findings	Observations Additional remarks / Copy to DNV/ISM Co-ordinator seven (7) years from date of audit) afety or a serious risk to the environment and natic implementation of a requirement of the ISM specified requirement. s beyond what should be subject to mandatory ISM
eport Verification: Signalure: ead Auditor: Antony M. D'Souza Signalure: erified by: ame Mike Close Signalure: ame Mike Close Signature: Signature: itle Sr. Surveyor Signature: Signature: emarks mclosures: Major Non-Conformaties Non-Conformaties istribution: (lick if by Auditor) Iniginal to Company: I Copy to DNV/MTPNC opy to Flag State Administration I other: I other: efinitions: An identifiable deviation which possesses a serious to require mediatic corrective action, in addition, the Code is also considered as a Major Non-Conformity. on-Conformities An observed situation where objective evidence indep code is also considered as a Major Non-Conformity. on-Conformities An observed situation where objective evidence indep code cettification. It does not affect the issue or rend code cettification. It does not affect the issue or rend sudilor referring to the SMC which, if not corrected, not sufficient of the SMC which, if not corrected, not sufficient to the SMC which, if not corrected on the sufficient of the SMC which if not corrected on the sufficient of sufficient of the SMC which if not corrected on the sufficient of sufficient of the SMC which if not corrected on the sufficient of sufficient of sufficient of intervent of the SMC which if not corrected on sufficient of sufficient of intervent of the SMC	Findings Findings	Observations Additional remarks / Copy to DNV/ISM Co-ordinator seven (7) years from date of audit) efety or a serious risk to the environment and halic implementation of a requirement of the ISM specified requirement. a beyond what should be subject to mandatory ISM ctive evidence it may also be a statement by the y in the future.
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DET NORSKE VERITAS, VERITASVEIEN 1, NO-1322 HØVIK, NORWAY, TEL INT: +47 67 57 59 00, TELEFAX: +47 67 57 59 11 Form No., 40.092a Issue, June 2003

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DET NORSKE VERITAS

Maritime Safety Management and Pollution Prevention ISM CERTIFICATION SEP CLASSIFICATION ISO 9000 series

ISO 14001 🖂 Other Standard 🗌

AUDIT PROGRAMME

Transocean Offshore Deepwater Drilling, Inc.					195724		Organisational Unit Audited: Main Office	
Ship Name:		and a spring of a state and in a springer of a state	a Prifine Banger affranse og bange affras fo		Ship DNV Id	No.	Branch	
Corporate Office at Houston, TX, USA					- Shipboard			
Audit Date(s): 2005-04-12 & 13			M. D'Sou	and the second se				- Contraction
Standard		Audit Sco	pe. Objective			12		
ISM (Management of Safe Ship Operatio	n & Pollutio	n Preventio	n)			Address and the second statements	Additional	Other
SEP (Management of Safety & Environm	a state and a located man because i and the state							
ISO 9001:2000					A CONTRACTOR			
ISO 14001:1996	*****	dentra por farm f. C.W. L. And Say of						
Other :			i O		1 0 1			
Audit language (if other than English):						NELSA.	••••••••••••••••••••••••••••••••••••••	·
Audil Terms of Reference, Standards, an				Â	Pites.			
 IMO Resolution A.741(18) Internation IMO Resolution A.012(02) Device of 	onal Safety	Managemen	a (ISM) Code	e and	\boxtimes			
IMO Resolution A.913(22) Revised	Guidelines	on implement	ntation of the	ISM Code	Carl and the second			
DNV Rules Pt. 7 Ch. 5 - Manageme ISO 9000 series ISO 1		and Environ	nmental Prot	ection (SEP) · · · · · · · · · · · · · · · · · · ·			
Special Flag State requirements	4001				是是4.11月月月,1			
 Other (specify): 					ye musicaliti (1941) 1956,			
Audit Execution: (to include the following as	appropriate				an allan.			
SMS Document Review / Personnel Inter	views / Phy	sical Condit	ions Tour / P	re & Post A	udit Meetings / Otl	her:		
	Date 2	2005-4-12	Date 2	005-4-13	Topics (ISO) / I	Remarks		
(Edit as needed)	Start	End	Start	End				
Opening Meeting	0830	0850	Time	Ime	Department H	leads	·····	
COO & Executive Vice President	0900	0930	Stan.		John Cahuza	C		
VP Engg & Technical Services	0930	1000		Caller .	Mike Hall			
Director (QSHE)	1000	1230	- APRIL P		Walter Cabucio			
Scott Hopkins (QSHE)	1330	1500	E BAR		Scott Hopkins			
IPS Department	1500	1530	a start		A. Sanchez/ M			
Manager (QS Services)	1530	1630	1		C. Osterman			
Engineering Discipline Manager	T washing	lin.	0830	0900	D. Pelley			
D, Groff (HR)	15 T	and an anna an a	0900	0930	D. Groff			(1) (1) - (1) - (1)
Marine Regulatory Administrator	AN AN	T SUMP	1000	1030	L. Masterson		r	
Director (Maintenance)	1							
and a second	- Aller	+	0930	1000	Les Smiles	11		
Manager (Insurance)	1973) 2019		1030	1100	B. Kelly		•	
Manager (Facilities)			1100	1130	R. Pratt			
Director of Engg & Tech Support	- ANNES		1130	1245	P. Tranter			
Close-Out Meeting	1		1330	1420	Department H	leads & QS	HE Staff	
Alan Takan.	1							
All the second s	1	1						
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Planned Audit Report Distribution:			1	1	1			
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Additional Audit Programme Information	in the second second second	□ Yes [T No					

DET NORSKE VERITAS, VERITASVEIEN 1, NO-1322 HØVIK, NORWAY, TEL INT: +47 67 57 99 00, TELEFAX: +47 67 57 99 11 Form No., 40.090a Issue: January 2003

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worke.
12.053
State State

Vessel Name:

DET NORSKE VERITAS

ISM CERTIFICATION

Company Name (DOC Holder): Transocean Offshore Deepwater Drilling, Inc.

SEP CLASSIFICATION

ISO 9000 🗆 ISO 14001 🔲

Other Standard Nole No. 1 of 2 Non-Conformity and Finding N

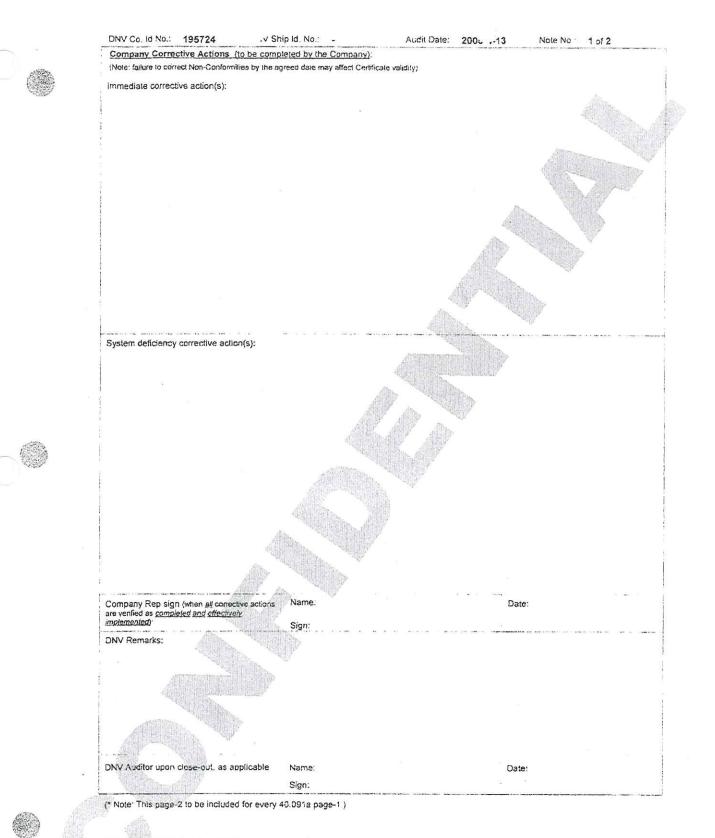
lote	Date:	2005-4-13
DNV Co 195724		Weights
DNV Sh	p Id. No.	Nillion and State

Refer	rençe(s)	Major Non-Conformity MNC Downgraded
ISM (Code: X	Non-Conformity DVC Upgraded
SEP	Rules:	Finding (date and sign):
ISO9	001/2000	
ISO1		
Other	r (e.g. SMS sec.):	Flag State notification required (Form 40.109a or 40.114a)
Desc	cription of Deficiency / Deviation:	
(1)	Requirement Statement:	
	ISM Code Sec 11.2 The Company s	should ensure that 1) Valid documents are available at all relevant
	locations 2) changes to document	s are reviewed and approved3) obsolete documents are removed
(2)	Deficiency: System / procedure is not (a	dequately) defined 🔲 / System / procedure is not (effectively) implemented 🛛
(3)	Objective Evidence.	out of date, not aligned with the current system or were not properly
	Refer Topic 6a. of the minutes of	QHSE steering committee meeting held on 24th February 2005.
	Note: The company voluntarily ide through the manuals. Hence, issue	entified this deficiency and already initiated corrective action by going red as a 'Finding' rather than a 'Non-Conformance Note.'
		19 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
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		and the second sec
	ditte	

AURIE, ATTAC	1.
Final Corrective Action agreed completed by (date): 2005-6-6 (for ISM Finding)	Master / Company Rep sign: (Name) Scott Hopkins/
Verification by DNV of corrective actions upon completion: Required: I Not required: (See Auditor remarks)	DNV Auditor Sign (upon issuing NC/Finding) Antony M. D'Souza
Auditor Remarks:	
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(Fan garbon suffers into an administ which in provide to have been eauled by vity in polyconic as a vity mean at 2 sunage. However, this comprised on shift not evented an encoder equal to len carrys the lee charged for this set regards Vertices. Dual investities Econdation Dat Norske Vertics in wird in saits subardismes, directors, offseter.	work to the methods, browned that the subcomment of increasing their network we and then 2 without in the provision that

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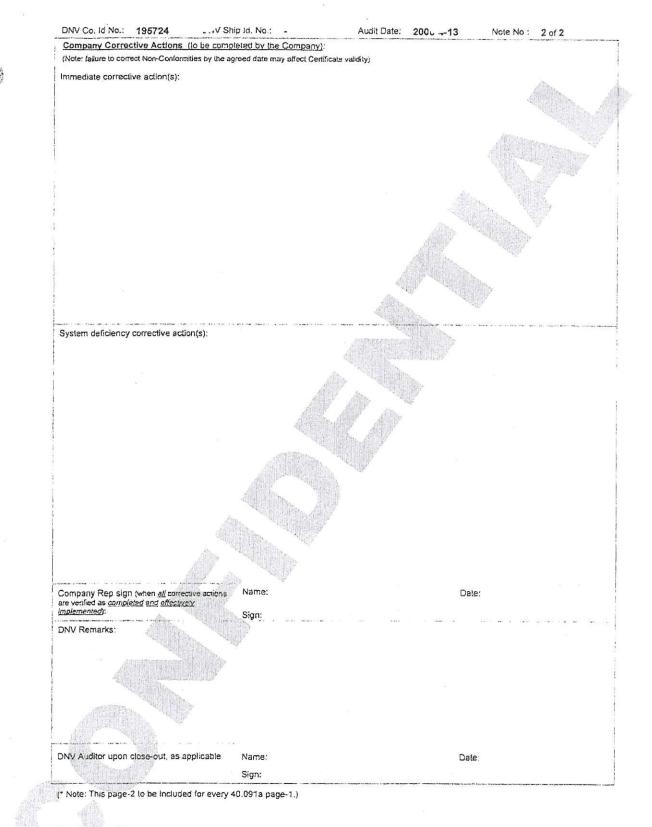


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		RSKE VERITAS	
		ISO 14001 Othe	r Standard 🔲 Nole No. 2 of 2
"Mights"	DINV Non-Confor	mity and Finding	Note Date 2005-4-13
	Company Name (DOC Holder): Transocean Offshore Deepwater Drilling, Inc.	ου τη αφορητική η αλληθού το τη του του το αποτική τη τη τη τη τη του που αποτική του στη του του του του του τ	DNV Co. ld No.: 195724
	Vessel Name:	alden in den de - de lans en an anders enders enders anders enders	DNV Ship Id. No.:
	Type Audil: Company 🛛 Shipboard 🗆 Antony M.	and a second	
	Reference(s):	D'Souza David McKa Major Non-Conformity	MNC Downgraded
	ISM Code: X	Non-Conformity	N/C Upgraded
	SEP Rules:	Finding	🔀 Idate and sign):
	ISO9001/2000	1	
	IS014001	A	
	Other (o g SMS sec.):	Flag State notification requ	irad (Form 40.109a or 40.114a)
	Description of Deficiency / Deviation:	Aller	i a chuir an
	(1) Requirement Statement:	and the	
	ISM Code Sec 9.1 The Safety Management syste		
	 conformities, accidents and hazardous situation (2) Deficiency: System / procedure is not (adequately) definition 		
	The OIM rejected Observation issued without sa (3) Objective Evidence	atisfactory investigation and	review.
	1. Observation No. 7 Issued to Discoverer Sev onboard in January 2005 Offhsore India.	en Seas from the ISM Intern	rediate Audit carried out
	2. Non-Conformance No. 6 issued to Discover missing from the listing in "FOCUS".	rer Seven Seas from the ISN	I Internediate Audit in Jan 2005 is
	2004 is still outstanding.		
đ			
đ	Einal Corrective Action agreed completed by (date):	(Name) Sco	th The
1	agreed completed by (date): (for ISM Findle Verification by DNV of corrective actions upon completion: Required: Not required:	ng) Rep sign: (Name) Sco DNV Auditor sign (upon issuing	H-There is the second s
i i	agreed completed by (date): (for ISM Findir Verification by DNV of corrective actions upon completion: Required: ⊠ Not required. ☐ (See Auditor remarks)	ng) Rep sign: (Name) Sco DNV Attelkor sign (upon issuing NC/Finding):	t Hopkills W Dry M. D'Souza
2	agreed completed by (date): (for ISM Findle Verification by DNV of corrective actions upon completion: Required: Not required:	ng) Rep sign: (Name) Sco DNV Attelkor sign (upon issuing NC/Finding):	t Hopkijks Juliony M. D'Souza
	agreed completed by (date): (for ISM Findir Verification by DNV of corrective actions upon completion: Required: ⊠ Not required. ☐ (See Auditor remarks)	ng) Rep sign: (Name) Sco DNV Artellior sign (upon issuing NC/Finding): Artri	wi seo compensation to wath parson Jar we proved direct loss or organization shall make exceed 102 g wildon to the provide or Test

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	DET NORSKE VERITAS		
44	ISM CODE / ISO CERTIFICATION / OTI	HER	
dniv	Observation	Note No Date:	. 1 of 1 2005-04-13
Company Nan		DNV Id. No .:	
Transocean Ship Name:		195724 DNV ld. No.:	Section .
<u>.</u>		·	05
Company Audit	Ship Ship Lead Auditor: Antony M. D'Souza Signature	- Au	Ų.
Audit Team:	ith references to relevant requirements or guidelines:	Contract of	/
2. 3. 4.	management in the auditing process, there was no explicit instruction the ISM elements or any guidance on how to report the audit results in r (Company agreed to incorporate them in their procedures). The Corporate Designated Person and Designated Persons in the reg overview of all ISM audit results relevant to their jurisdiction/operatio ongoing initiatives to get the status of all results online that wor 'outstanding' NCs/Findings/Observations at any point of time). Several procedures and manuals have been updated to include major in Modified Procedures and Manuals that are part of the Company Mana ISM elements should be submitted to DNV for review. Currently, engineering work carried out within Transocean that does not process by third party (e.g., class, flag administration, coast state a formally verified within the Company. Company should review their	gional office sho ms (Company sh uld help them t nitiative like PMA agement System go through forma administration, e	uid have a owed their to see the A process. to address al approval (c.,) is not
	as required by the procedures (frequency: once/year)	đ	2
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comage However, In	ors of demoge which is proved to have been caused by any engineers and or omission. If Det Notske Vesitus men Det Notske Vesitus men Det Notske Vesitus men Det Notske Vesitus and page compensation straff not erfored an amount spall to tan missione fee enargies (nº tre service in glassion, providen traff the maximum concert to take Vesitus" shall need the Foundation Det Neckle Vesitus service as all dis unoclastice, due vices effects, employees, sprint and any othe	station shall never exceed USD 2	million

Strain.

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